



# Progress and Prospects : in China's Transition toward Sustainable Cities

*Introducing the China Low-Carbon and Green for Cities Index (LOGIC)*

Innovative Green Development Program (iGDP)  
China Energy Group of Lawrence Berkeley National Laboratory  
Energy Foundation China

Northeast Asian Mayors' Forum: Low Carbon City Development: Improving Air Quality and  
Reducing Greenhouse Gas Emissions  
Ulaanbaatar, 18-19 June 2018

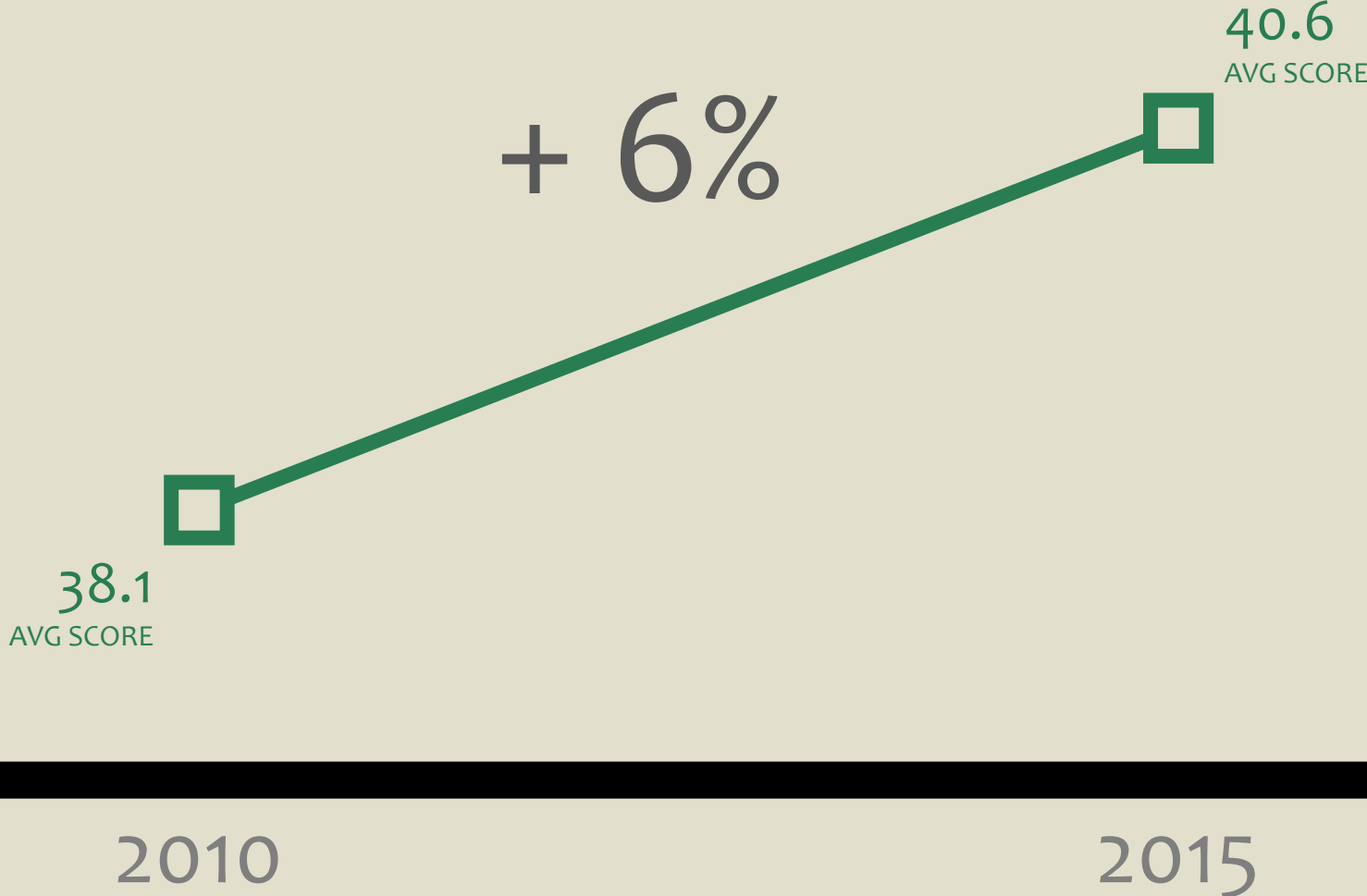
A KEY QUESTION :

Are China's cities making progress  
on their green and low-carbon  
goals?

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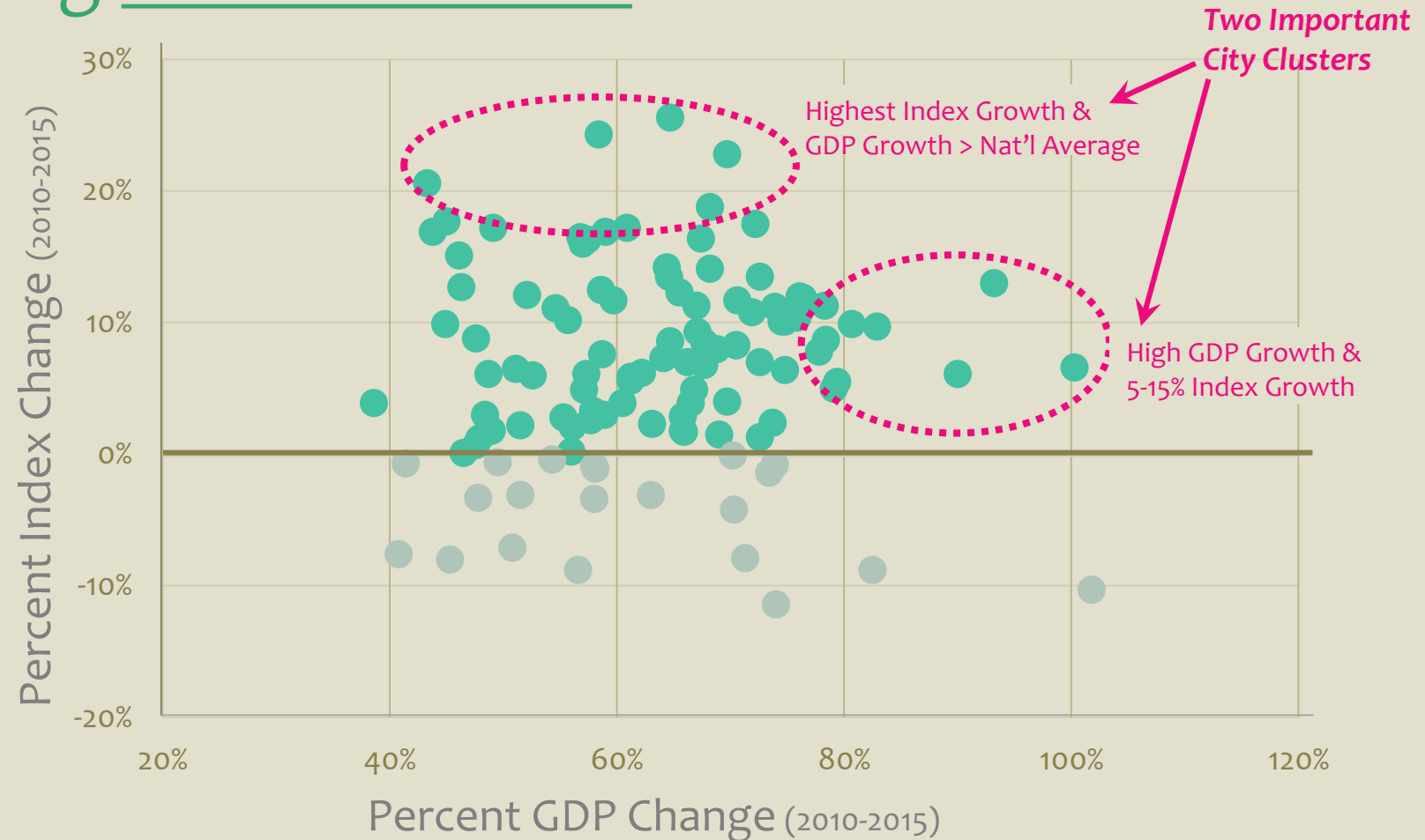
# China's cities are getting greener :

Overall LOGIC Index scores improved 6% from 2010-2015



# Chinese Cities are seeing Green Growth :

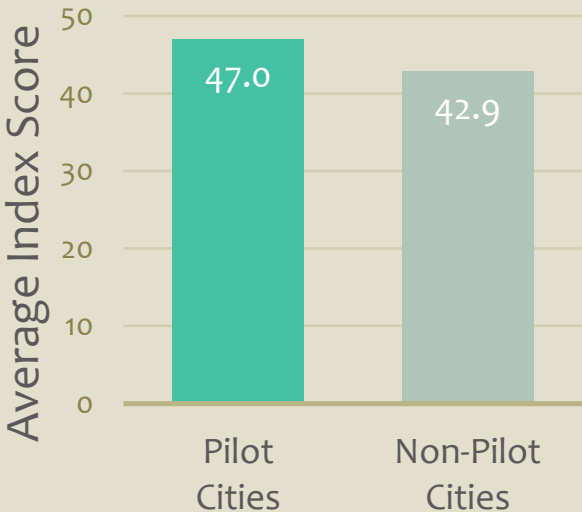
Over 90 cities saw both GDP growth and LOGIC Index growth from 2010-2015



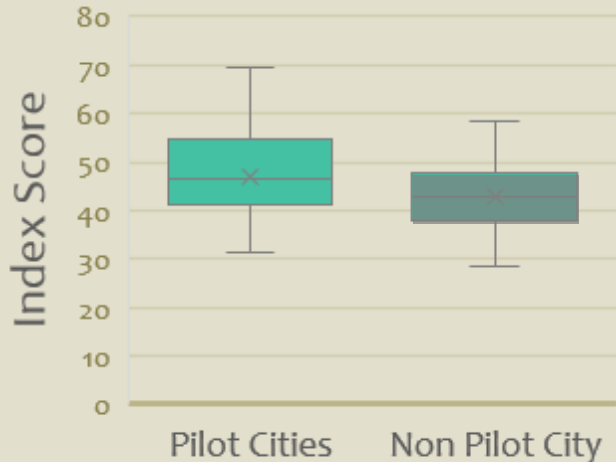
2015

# China's Low-Carbon Pilot Cities show the way : Pilot cities have been quicker and more successful in achieving green & low-carbon results...

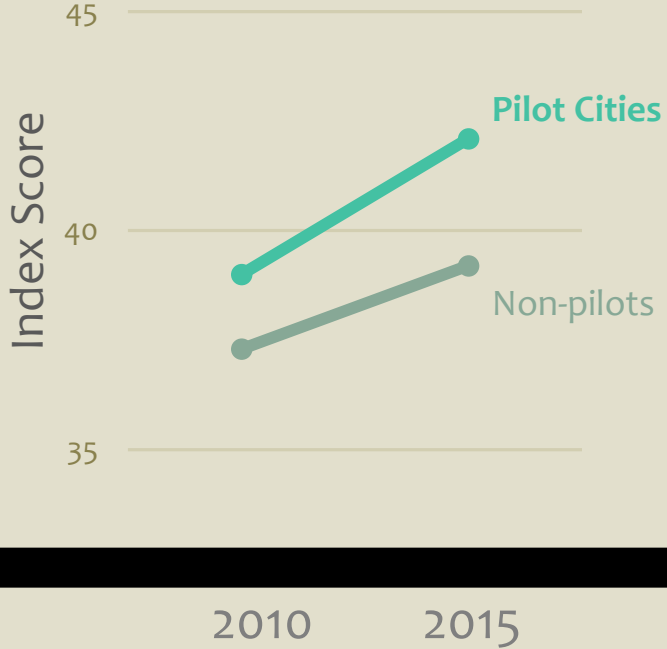
Pilot cities have higher average scores...



Pilot cities have scores in a higher range....



And, pilot cities' scores are improving faster.



2015

## INTRODUCING THE NEW

# China Low-Carbon and Green Index for Cities (LOGIC Index)

*Building off a strong foundation...*

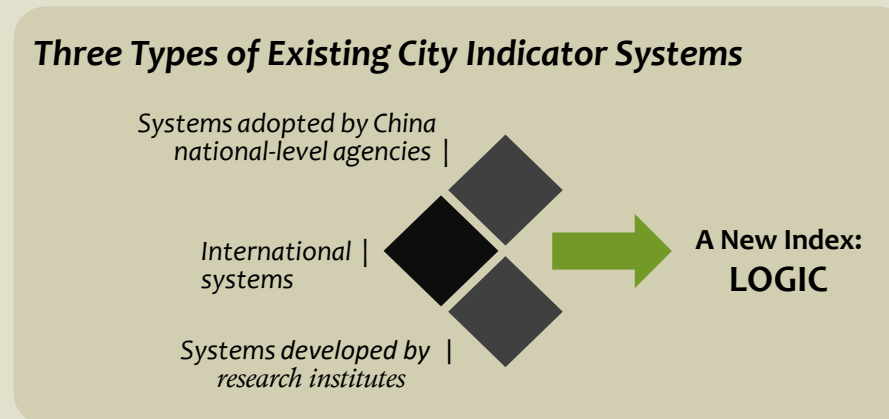
*Adapting existing tools:*

BEST Cities model (LBNL)

ELITE Cities model (LBNL)

Policy Mapping (iGDP)

*Learning from international and domestic city indicators:*



## INTRODUCING THE NEW

# China Low-Carbon and Green Index for Cities (LOGIC Index)

## A NEW AND UNIQUE CITY INDEX DESIGNED FOR CHINA'S LOW-CARBON URBAN AND ECONOMIC TRANSITION

### FOCUS ON KEY ECONOMIC & SOCIAL FACTORS

- Group and evaluate cities according to development
- Three economic groups
- Three city-size groups
- Four regional groups
- Status of low carbon pilots

### REFLECTS RECENT POLICY EFFORTS

- Four policy indicators
- Assess efforts and new actions

### TRACKS LOW-CARBON PERFORMANCE

- 19 quantitative indicators, across key urban sectors
- Tracking real low-carbon performance
- Reflect China's urban economies and industry

### DEFINED USING GLOBAL BENCHMARKS

- Assess performance against China + Global best practice
- Guide the path forward

# INTRODUCING THE NEW

# China Low-Carbon and Green Index for Cities (LOGIC Index)

115

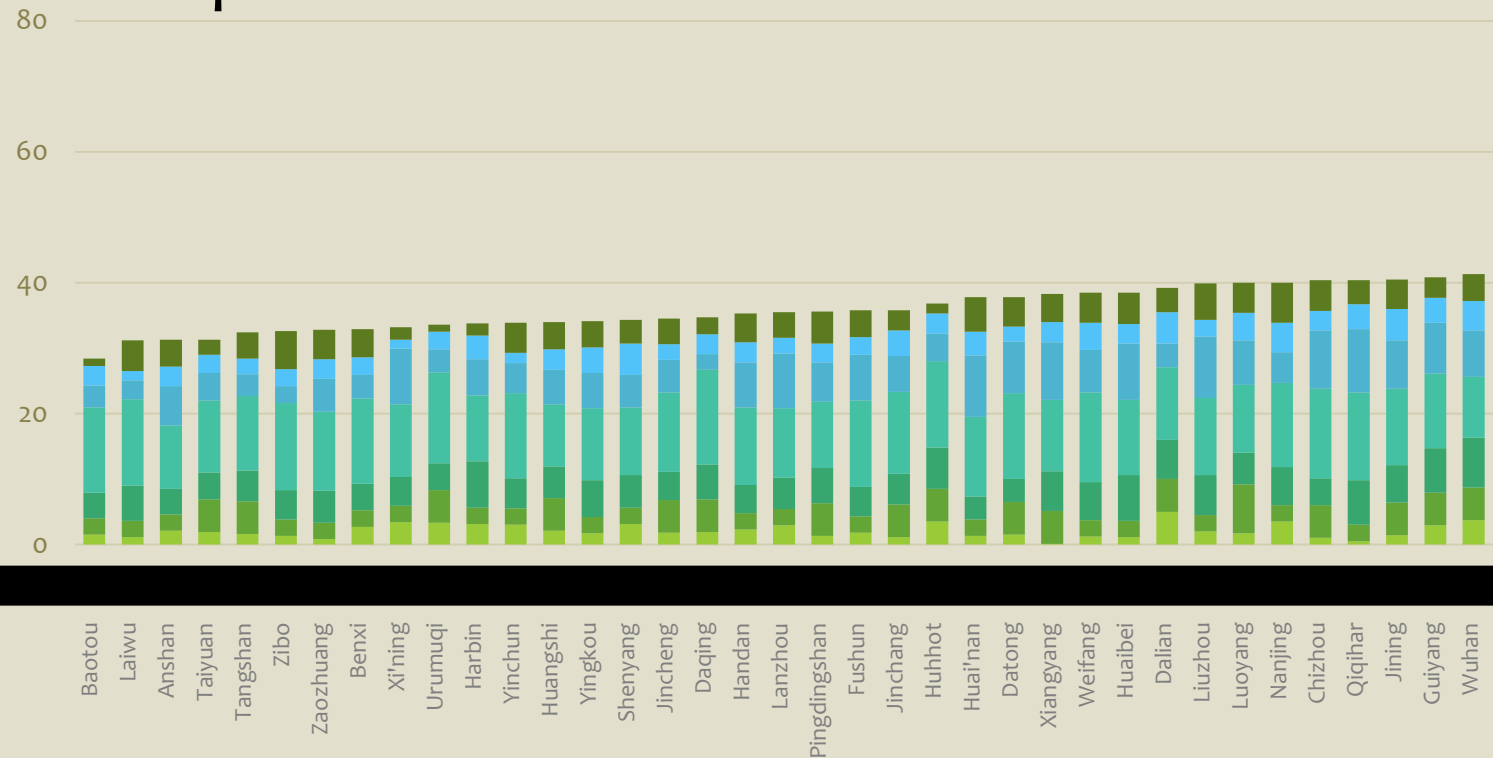
Chinese Cities

7

Index Categories

- Energy & Power
- Economic Dimension
- Industry
- Environment & Land Use
- Transportation
- Policy Dimension
- Buildings

## Composite Index Scores



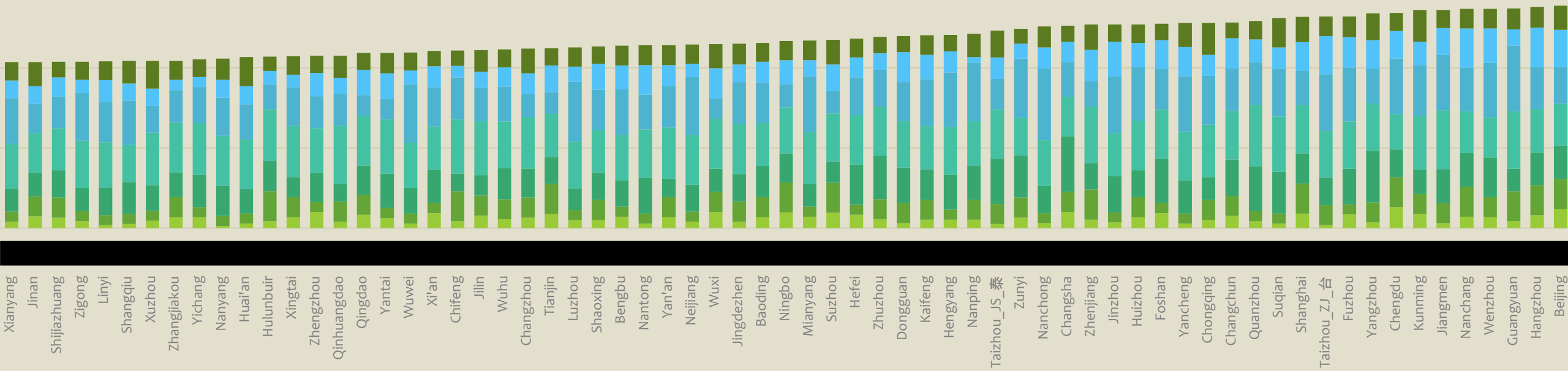


# INTRODUCING THE NEW

# China Low-Carbon and Green Index for Cities (LOGIC Index)

## Why a new indicator system?

- Recent fast change in China's low carbon polices + actions
- China's economic and urban policies are unique
- Rely on publicly available city data in China
- Holistic Green + Low-Carbon assessment + Energy

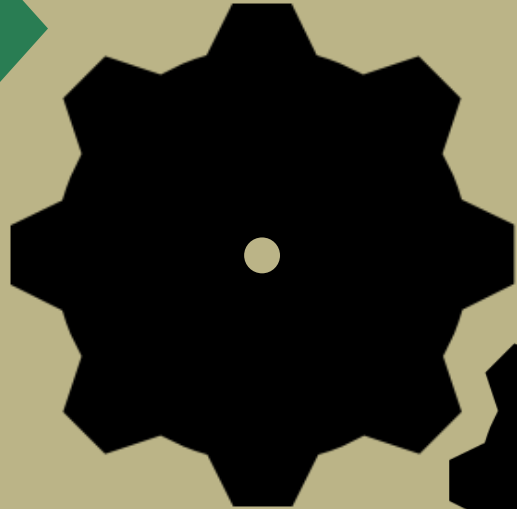


How does the Index Work ?

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# Inside the Index: LOGIC Methodology

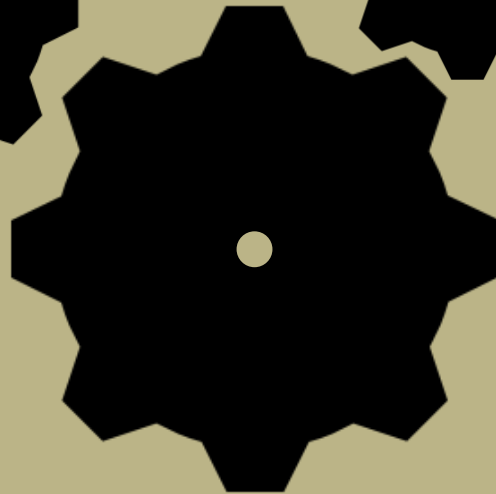
Select & Group  
Cities



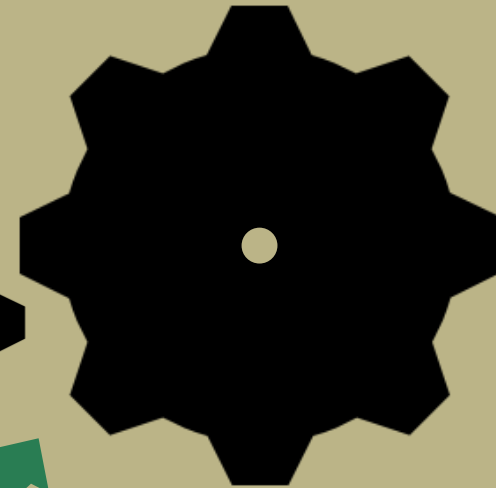
Define Index  
Framework



Normalize by  
Benchmarks



Assign  
Weights



Calculate  
Scores



## METHODOLOGY:

# City Selection and City Grouping

**115** Cities, accounting for:

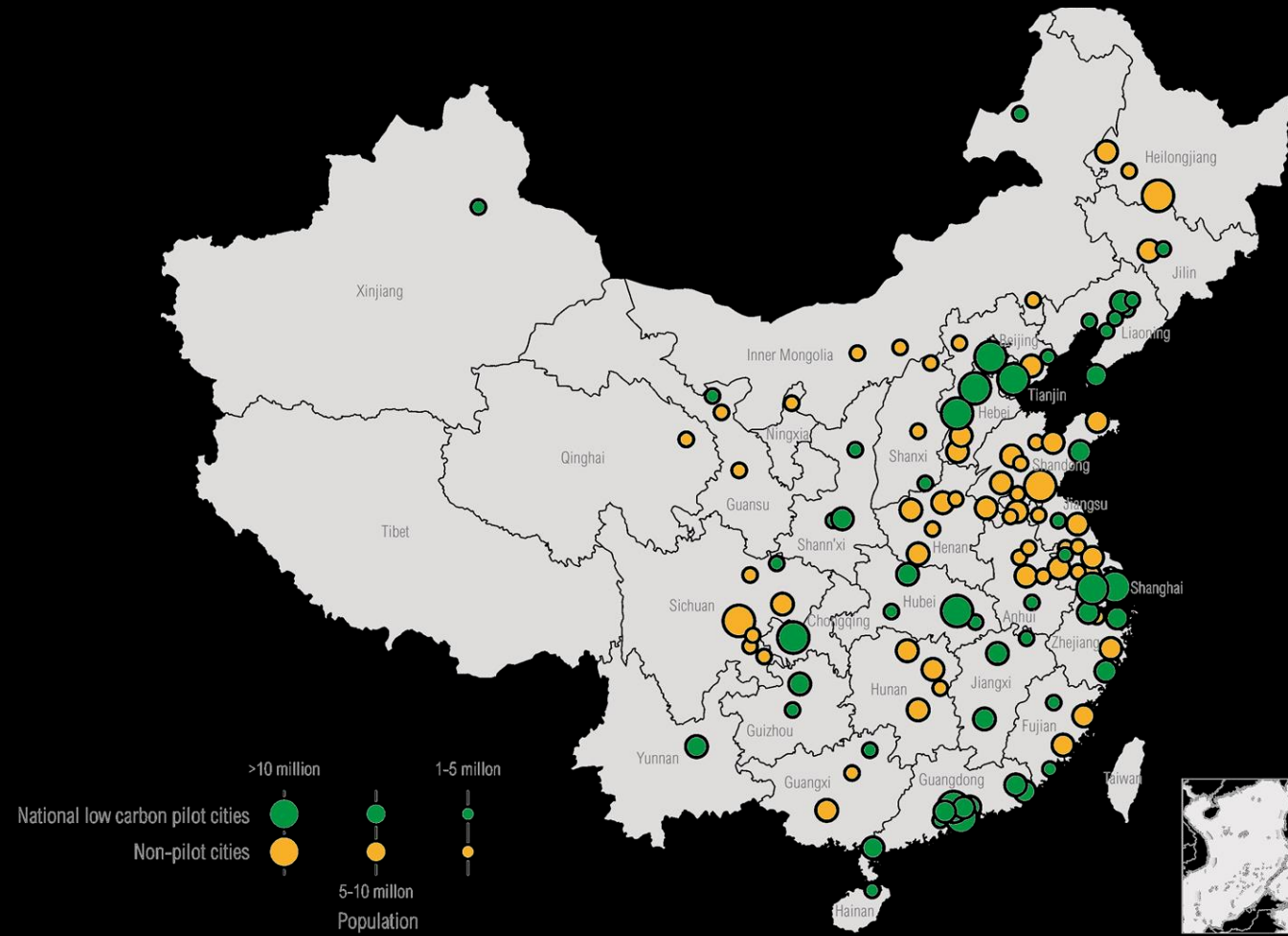
74% of National GDP

58% of National Energy Consumption

52% of National Population

**4** Groupings of Cities for analysis:

- Economic Groups
- Size Groups
- Geographic Regions
- Low-Carbon Pilot Status



## METHODOLOGY:

# Index Framework and Weighting: Categories + Indicators

7 Categories / Sub-Categories

	Weight
Economy	20%
Energy & Carbon	50%
Environment & Land Use	20%
Policy & Outreach	10%

23 Indicators

Indicator	Weight	Data
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$$\Sigma = 100\%$$

## METHODOLOGY:

# Benchmarking Performance against Global Best Cities

### Benchmarking Principles:

- Meaningful Measures – connect to green & low-carbon goals
- Ambitious – future looking, and goal stretching
- International – connect to best cities, and other indices

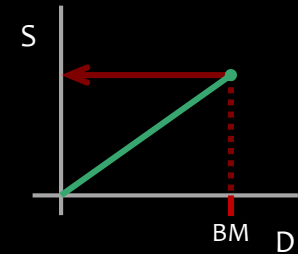
### Three Types of Benchmarks:

- International Best Practice
- China Policy Targets
- +20% Better than Best City in Sample

### Two Types of Benchmark Functions

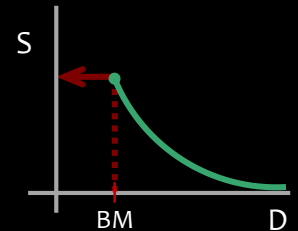
- Directly Proportional  
*High Data Value = HIGH Score Value*

$$S \sim \frac{D}{BM} \times 100$$

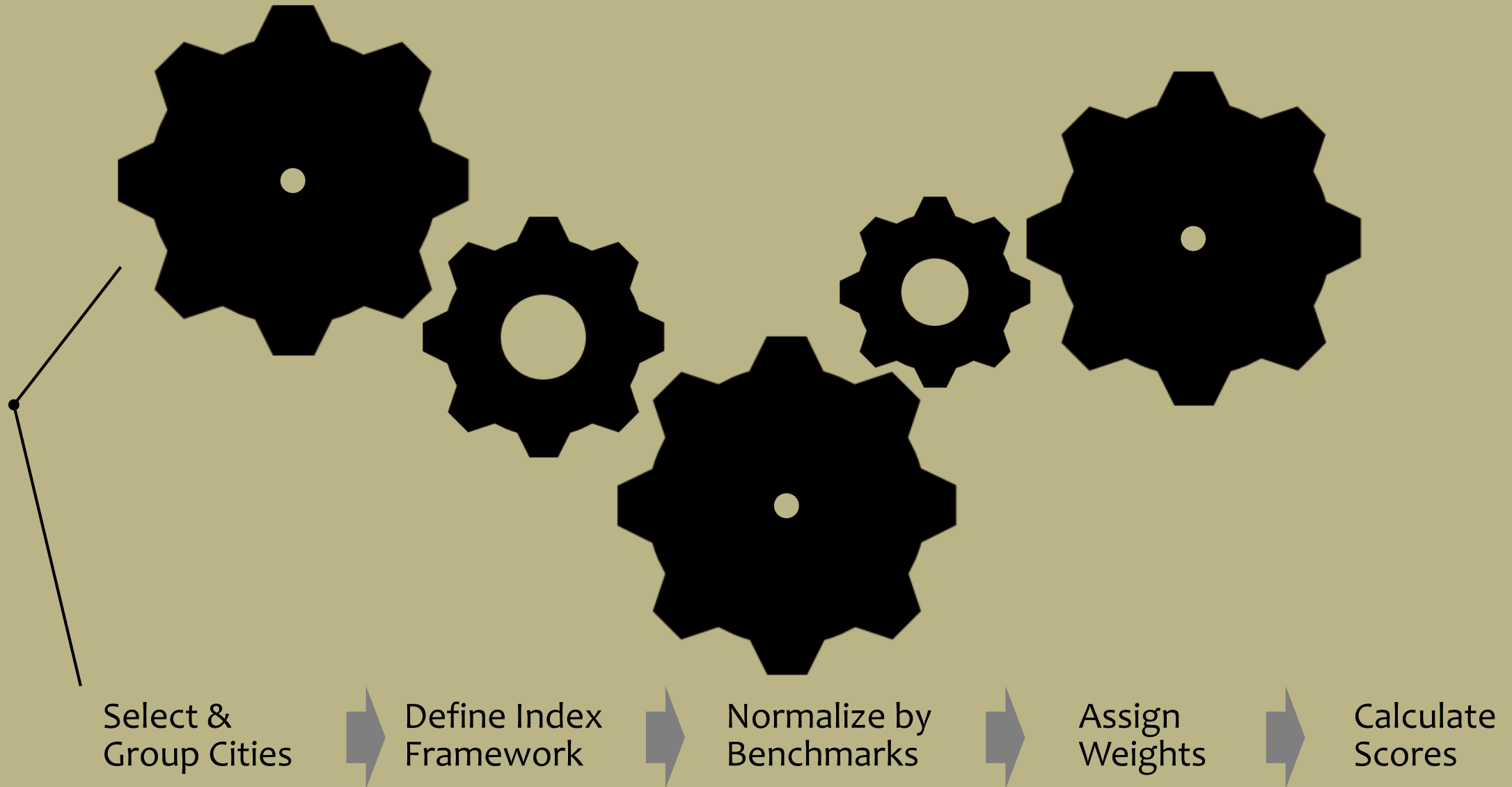


- Inversely Proportional  
*High Data Value = LOW Score Value*

$$S \sim \frac{BM}{D} \times 100$$



# Inside the Index: LOGIC Methodology







EXPLORING LOGIC INDEX RESULTS :

Which index dimensions are most important?

Which types of cities and which policies perform the best?

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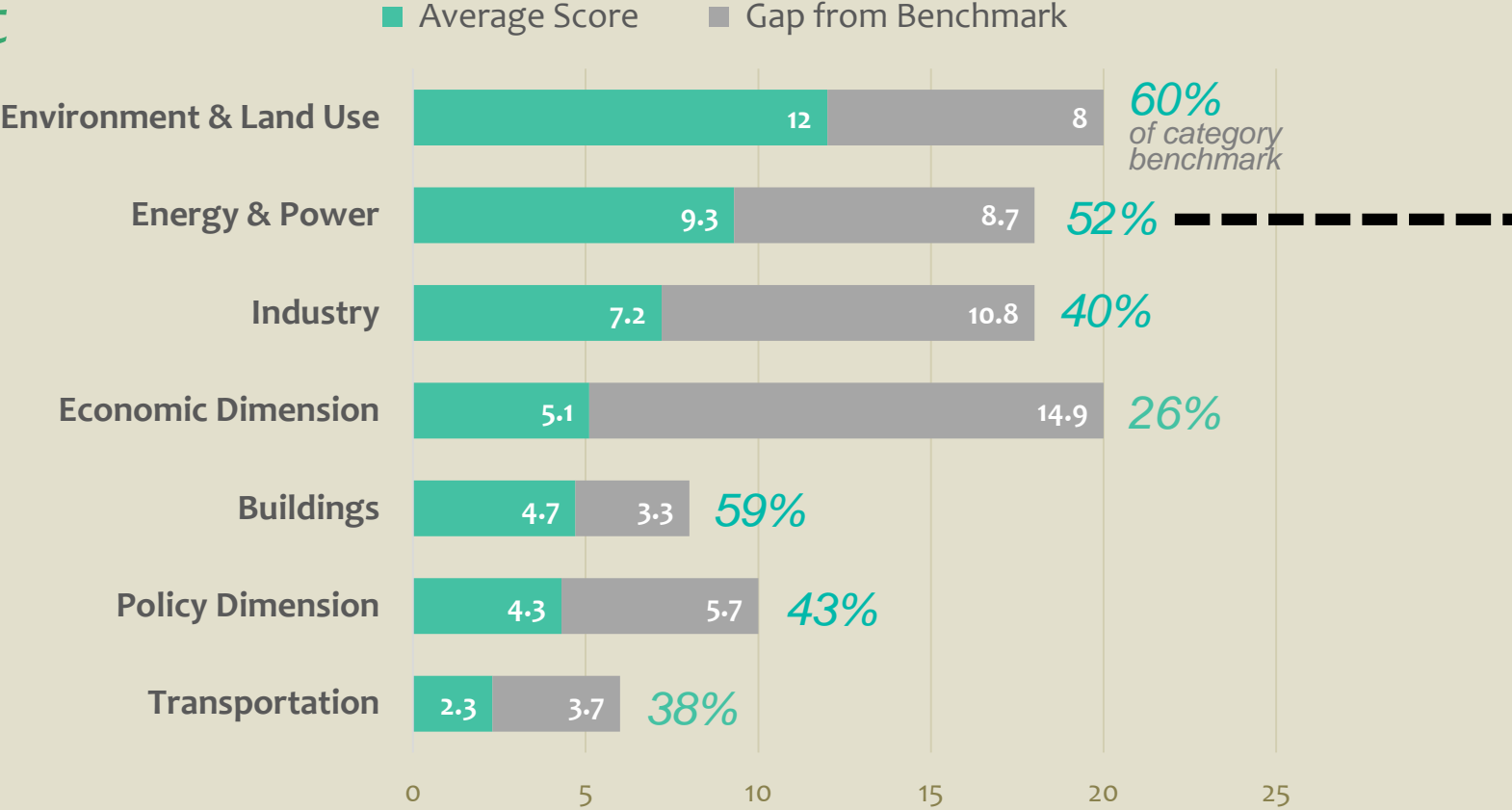
# Key Findings

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- Chinese Cities have considerable room to improve  
*Especially in the economic, transportation, & industry dimensions*
- Economic, Energy, + Industry categories drive index scores  
*Urban energy and economic structure are key part of low-carbon transition*
- Cities of all types can be Top-Performers in the LOGIC Index  
*Cities with different levels of economic & urban development achieved high scores; all cities can learn from top-performers in any Group, any Region, & any Policy area*

# Exploring Results : LOGIC Index Categories

*Chinese cities have significant potential to improve their Green and Low-Carbon performance.*

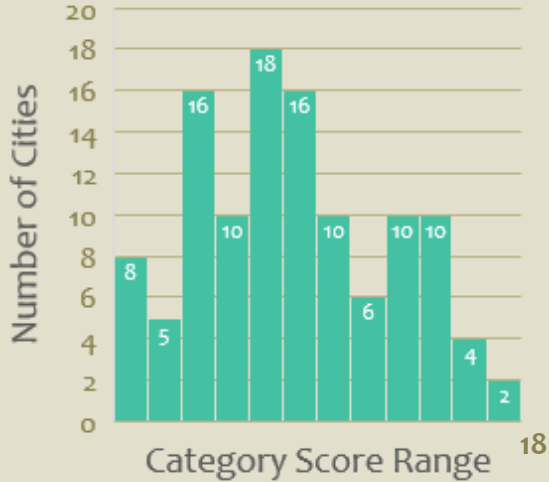


# Exploring Results

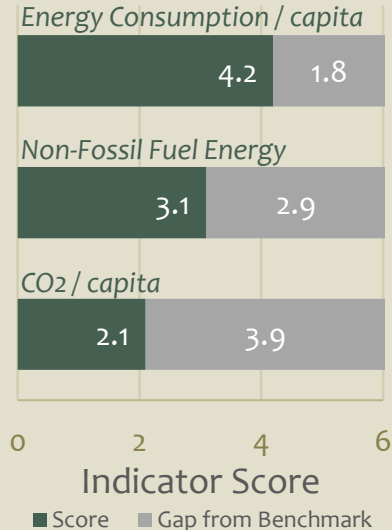
## LOGIC Index Indicators

Energy & Power

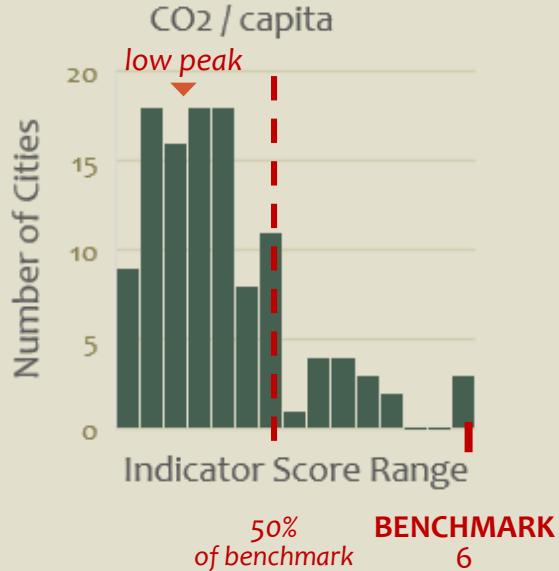
Category Scores Histogram  
115 sample cities' distribution

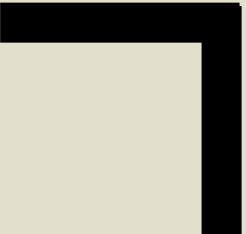


Indicator Scores vs Benchmark  
3 indicators in E&P category  
115 sample cities

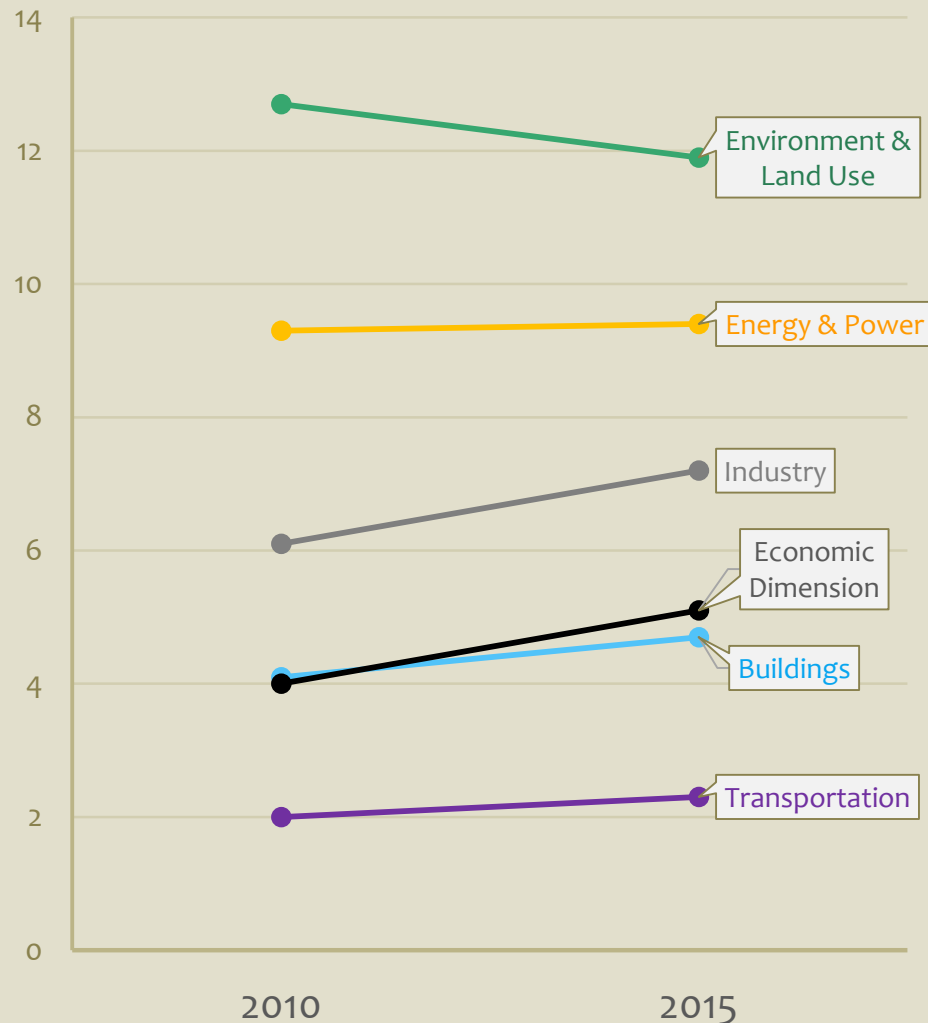


Indicator Scores Histogram  
One indicator from E&P category  
115 sample cities' distribution





## Most categories improved in Chinese cities, form 2010-2015

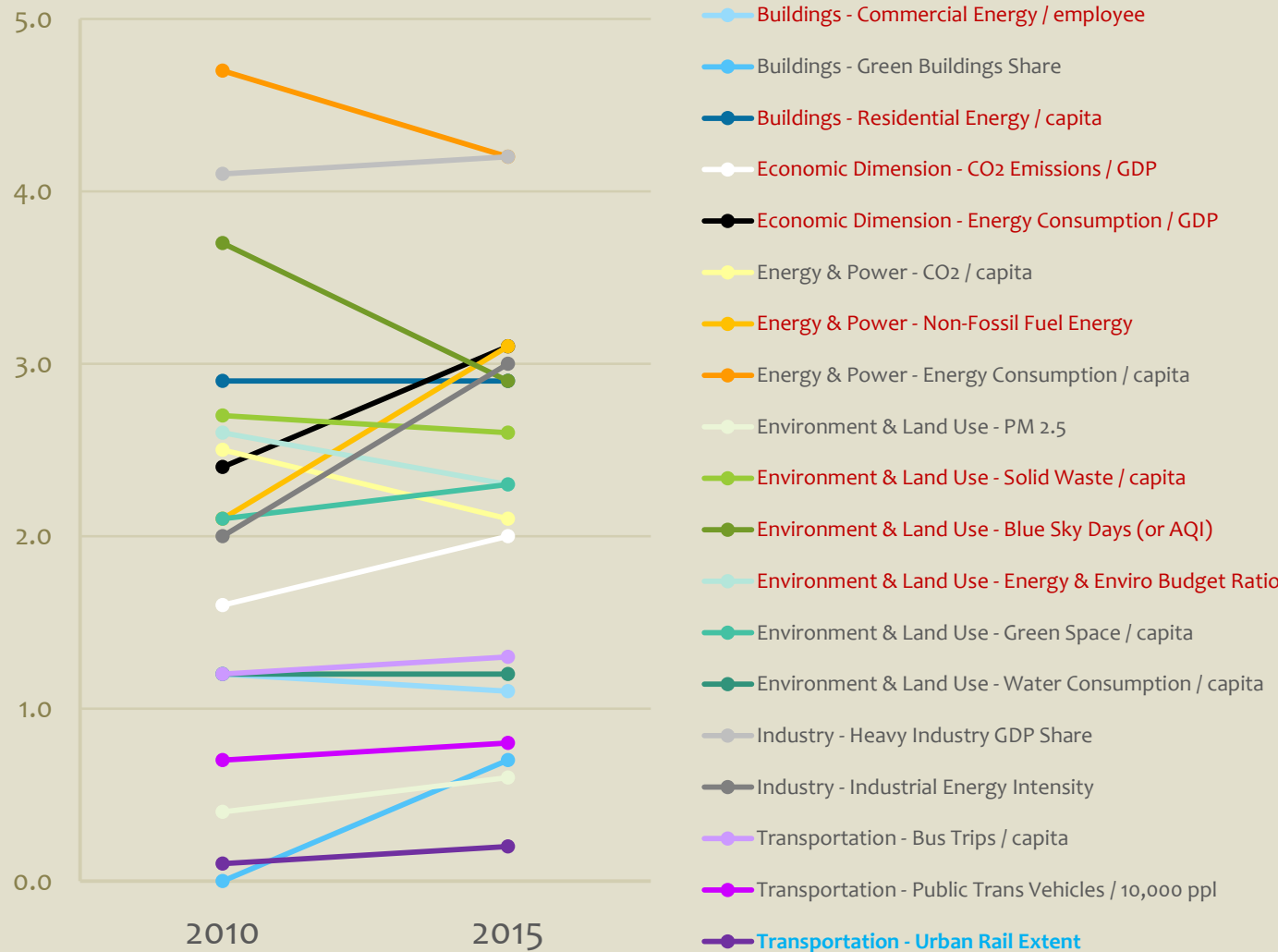


## Exploring Results Index Category Change

- 6 out of 7 index categories have rising scores, 2010-2015
- Only **Environment & Land Use** category has score decrease  
*Driven by air quality and solid waste problems*
- **Economic** category has fastest score growth

# Chinese cities saw mixed indicator performance

# Exploring Results Index Indicator Change



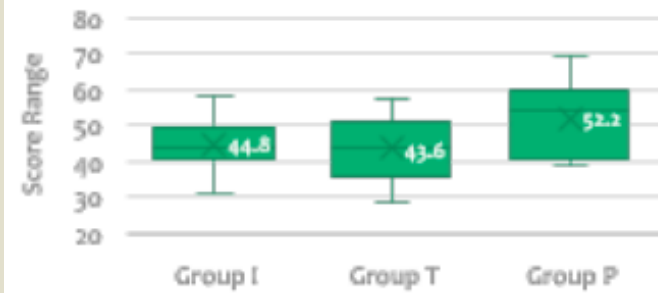
- 11 out of 19 index indicators have rising scores, 2010-2015  
*Highest increase of 121% in five years (Urban Rail Extent)*
- 8 out of 19 index indicators have score decrease, 2010-2015  
*Biggest drop in score is -21% (Blue Sky Days, AQI)*

## City Performance by Groups

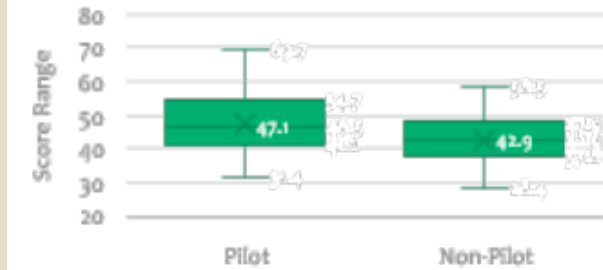
# Exploring Results City Group Scores

Larger, Wealthier, Developed cities have better, higher scores in the LOGIC Index.

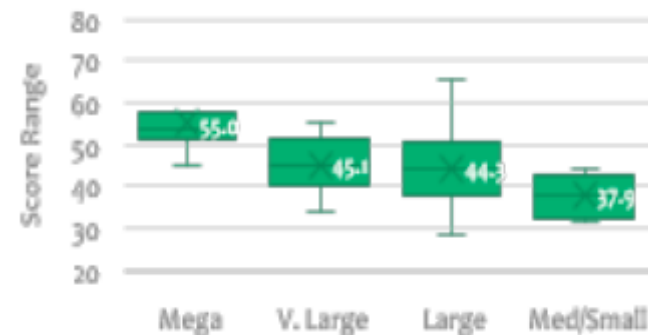
*Economic Groups*



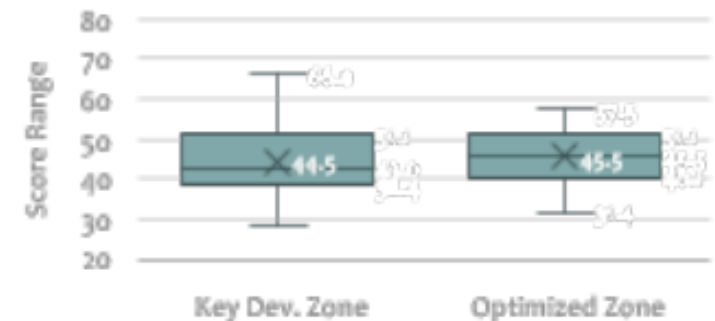
*Low Carbon Pilot Status*



*Size Groups*



*Functional Zones*





# Exploring Results Top Scoring Cities

*Cities of all types can  
be Top-Performers in  
the LOGIC Index*

City Name	Rank, Overall Index	Overall Score	Economic Group	Size Group	Region	Low Carbon Pilot Status
Shenzhen	1	69.7	Group P	Mega	East	Pilot
Xia'men	2	66.0	Group P	Large	East	Pilot
Changde	3	58.5	Group I	Large	Central	Non-Pilot
Nanning	4	58.2	Group I	Large	West	Non-Pilot
Haikou	5	57.7	Group T	Large	East	Pilot
Ganzhou	6	57.5	Group I	Large	Central	Pilot
Guangzhou	7	57.5	Group P	Mega	East	Pilot
Shantou	8	57.4	Group T	Large	East	Pilot
Jieyang	9	56.7	Group I	Large	East	Pilot
Guilin	10	56.3	Group I	Large	West	Pilot
Zhanjiang	11	55.8	Group I	Large	East	Pilot
Beijing	12	55.5	Group P	Mega	East	Pilot
Hangzhou	13	55.3	Group P	Very Large	East	Pilot
Nanchang	14	54.8	Group T	Large	Central	Pilot
Wenzhou	15	54.8	Group T	Very Large	East	Pilot
Guangyuan	16	54.7	Group I	Large	West	Pilot
Jiangmen	17	54.5	Group I	Large	East	Pilot
Kunming	18	54.5	Group T	Large	West	Pilot
Chengdu	19	53.7	Group T	Mega	West	Non-Pilot
Yangzhou	20	53.6	Group T	Large	East	Non-Pilot

# Conclusions

*The LOGIC Index allows deep and detailed exploration of city performance :*

- The LOGIC Index is useful to compare China's cities to global benchmarks, on multiple green + low-carbon dimensions.
- The index helps in identifying promising opportunities, and in understanding key challenge areas.
- National and Local governments can use LOGIC Index scores to prioritize policies and financial support; and to promote city exchanges and learning.

# Limitations + Next Steps

## Some Known Limitations

- Data availability limitations
- Missing urban form dimensions
- Missing some other urban goals (livability, resilience, ...)
- Urban consumption not considered

## LOGIC Index Next Steps

- Work with cities to improve data availability and collection
- Update index regularly; track cities' progress

# Low-carbon City Planning: From Establishment to Evaluation

- Start a proposal
- Form a team
- Collect information
- Conduct consultation

Preliminary  
Setting Up

Evaluation and  
Upgrade

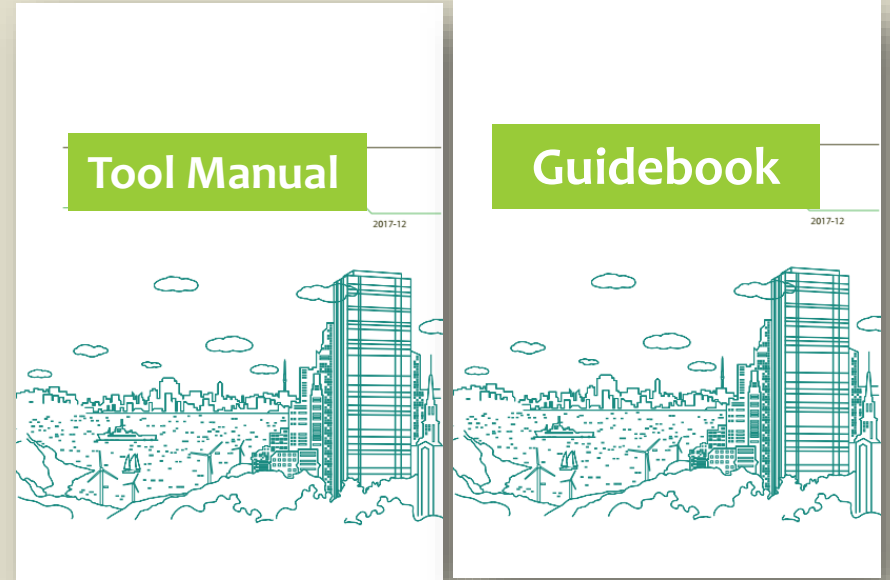
Plan Drafting



## A Guidebook for Strategic Planning and Actions

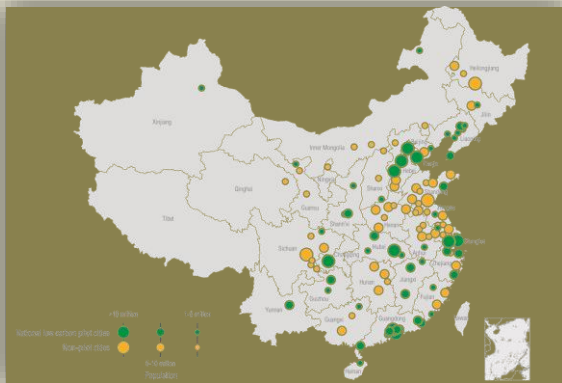
Tool Manual

Guidebook



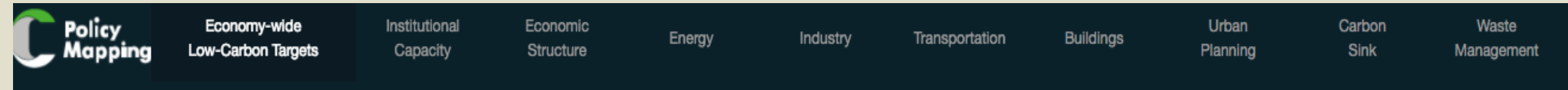
- Establish evaluation system and mechanism
- Update GHG inventories

LOGIC Index Tool



# Policy Mapping Tool

- A database and interactive platform to track, synthesize and compare low-carbon development: <http://www.cepm.igdp.cn/>



--- Framework of Indicators: 10 Categories

**1. Economy-wide Development Goals**

**2. Institutional Capacity**

**3. Economic Structure**

**4. Energy**

**5. Industry**

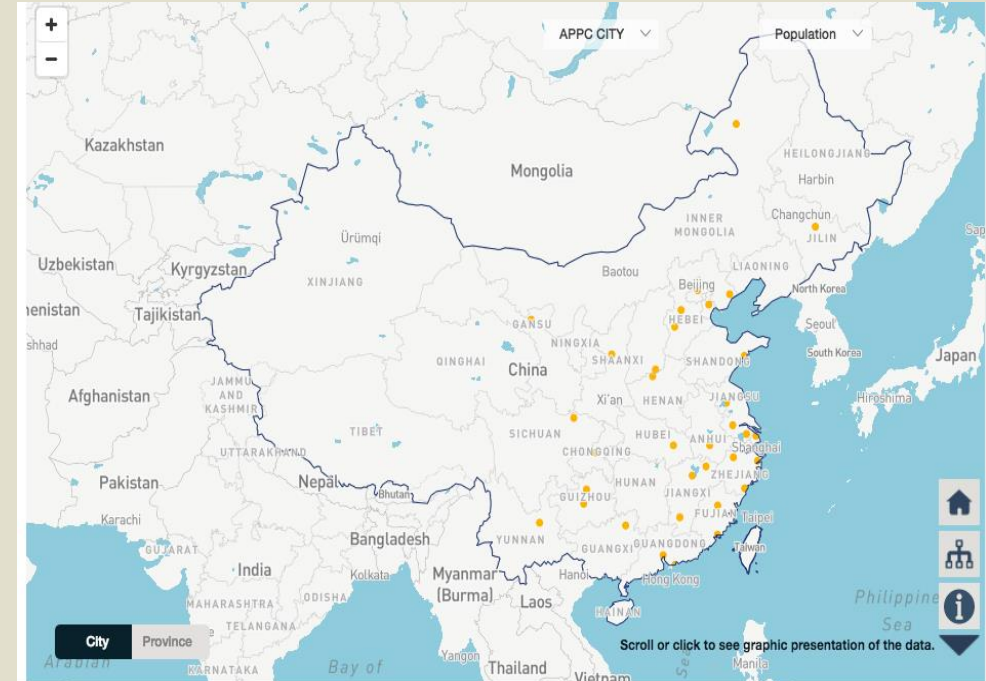
**6. Transportation**

**7. Buildings**

**8. Urban Planning**

**9. Carbon Sink**

**10. Waste Management**



# About iGDP



- **An independent Chinese think tank working on:**
  - Green and Low-Carbon Development Planning
  - Green Economy
    - Green Finance
    - Carbon Market
  - International Cooperation on Climate Change
- **The implementation organization of the Green Low-Carbon Development Think Tank Partnership (GDTP):**
  - A network of 45 local research institutions that have been providing technical support for subnational green low-carbon development
- Please visit us at [www.igdp.cn](http://www.igdp.cn).



iGDP



End

Thank You